# A Good Image Model Eases Restoration

— on the contribution of Rudin-Osher-Fatemi's BV image model

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#### Abstract

What we believe images are determines how we take actions in image and low-level vision analysis. In the Bayesian framework, it is known as the importance of a good image prior model. This paper intends to give a concise overview on the vision foundation, mathematical theory, computational algorithms, and various classical as well as unexpected new applications of the BV (bounded variation) image model, first introduced into image processing by Rudin, Osher, and Fatemi in 1992 [*Physica D*, 60:259-268].

**Keywords:** Bounded variation, co-area, image representation, Bayesian, image model, data model, blur, noise, inpainting, error concealment, zooming, perceptual image coding.

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# 1 Introduction: Image modeling

Image modeling, namely, finding a suitable way to describe and represent images, is perhaps the most fundamental and crucial step for the whole ladder of tasks in image and low-level vision analysis. The underlying philosophy is: the way we process and analyze images depends very much on what we believe (or *model*) they are.

A household analogy would be the prediction of the stock price. If it is believed to be a smooth function of dates, then tomorrow's price can be well predicted by those of today and yesterday by simple polynomial interpolations. But if more realistically, the price stream is modeled as a stochastic process, then the prediction has to be based on the features of such process. The interaction between image processing and image modeling is very much the same story.

As of February, 2002, the Google search engine returns about 50,000,000 documents containing the word "image." But this broad usage does not mean that we have already had a rigorous *mathematical* definition. In fact, even the Webster's Dictionary kicks the definition of "image" onto that of "picture," and then explains the latter vaguely as "a representation made by painting, drawing, or photography," which says nothing but only how "images" or "pictures" are formed. It reminds us the concept of weight. Mankind had blindly used it for thousands of years until the giants Newton and Einstein first tried to decipher the meaning of gravity.

The mathematical challenge of image modeling roots in the diversity and complexity of images, from the rich geometric structures to a large dynamic range of scales. Most of us do not consider it a good idea to lazily vote for any function u(x,y) (equally) as an image. But it seems that no one has yet seized the right tool to characterize the boundary between images and non-images. Perhaps there is no such *sharp* boundary at all. That is expressed by the well known *Gibbs' Random Fields* model of Geman and Geman [GG84]. Based on filtering and statistical learning, the model has been developed more generally by Zhu, Wu, and Mumford [ZWM97, ZM97]. Such stochastic approach for image modeling gets more theoretically matured in the very recent work of Mumford and Gidas [MG01] based on infinitely divisible law and axiomatization.

Away from the stochastic theory of images, is the exploration of possible deterministic image models. Such transition is perhaps best described by Yves Meyer's very recent "u+v" notion [Mey01]. Here v(x,y) represents the rapidly oscillatory component (noise or textures), or a stochastic sampling, while u(x,y) captures the deterministic features.

In the very beginning of computer vision and artificial intelligence, Marr and his colleagues [MH80] already noticed the importance of edges for image understanding and visual communication. Edges are indeed an intrinsic feature for images since they define, segment, and correlate individual objects [NMS93]. Thus the deterministic component u should at least allow edges, or, one dimensional singularities, and cannot be a traditional Sobolev function. Mumford and Shah [MS89] singled out these edge features and proposed the famous object-edge free boundary image model. Recently Donoho and his students have developed geometric wavelets such as curvelets to model the component u (while leaving the oscillatory component v resonant with conventional wavelets) [Don00].

Is there a simple linear functional space that legalizes edges and is easy to work

with, but is not too loose to include too many "uninteresting" images. The answer was discovered by Rudin, Osher, and Fatemi [ROF92, RO94] in 1992. It is the Banach space of functions with bounded variations (BV). Ever since, the model has witnessed many applications in image denoising, deblurring, interpolation and inpainting, super-resolution and zooming, error concealment in wireless image transmission, medical imaging, and various inverse problems (see, for examples, [ROF92, DS96, VO96, CW98, CS01d, COS01, CS01a]).

The current paper attempts to give an overview on the theory and applications of Rudin, Osher, and Fatemi's BV image model for image restoration, with a special emphasis on our recent work of employing the BV image model as an image interpolant for the inpainting problem [CS01a, CS01b, CS01c, CS02].

Section II briefly lays out the Bayesian foundation for variational image restoration. Section III introduces the original Rudin-Osher-Fatemi TV restoration model. We present both its theory and computation. In section IV, we explain our recent effort on applying the BV image model as an efficient image interpolant for the inpainting problem, highlighted with several computational examples. The last section discusses two extra issues related to the BV image model and then concludes the paper.

# 2 Bayesian Framework for Image Restoration

If there indeed exists *the* most important principle in the entire field of image and vision analysis, it has to be the Bayesian rule.

Many problems in image and vision analysis can be set up as follows. We are to infer some feature or patten (vector or continuous field) F from a given measured or observed data field  $X_0$ . For example, for image restoration,  $X_0$  corresponds to a given corrupted image  $u_0$ , which is often snowed by noise, blurred by de-focusing or medium scattering, or has certain data missing during the transmission process; and F denotes the ideal image u one would get without all those distortion effects. For vision analysis,  $X_0$  may represent the 2-D image u, while F denotes the 3-D configuration parameters (illuminance and reflectance, etc.) [Ker].

The ideal inference of F from  $X_0$  is naturally the one that maximizes the *posterior* probability  $\text{Prob}(F|X_0)$ . According to the Bayes formula

$$\operatorname{Prob}(F|X_0) = \frac{\operatorname{Prob}(X_0|F) \operatorname{Prob}(F)}{\operatorname{Prob}(X_0)},$$

it suffices to maximize the product of the data model  $\operatorname{Prob}(X_0|F)$  and the prior model  $\operatorname{Prob}(F)$ , since the denominator is merely a normalization constant once  $X_0$  is given. The prior model  $\operatorname{Prob}(F)$  specifies how often a pattern F can be observed a priori , i.e., independent of any observation made. The data model  $\operatorname{Prob}(X_0|F)$  then reveals the likelihood for  $X_0$  being generated from a given pattern F.

If one has the *a priori* evidence for the importance of *geometric* structures in the pattern distribution Prob(F) (such as edges and their geometry for image understanding), then it is more convenient to work with the "energy" form of the Bayesian method, as Mumford did for various segmentation models [Mum94]. This is at least formally

achieved via Gibbs' formula in statistical mechanics [Gib02]: the likelihood for a configuration F being observed is associated to its energy E[F] by

$$\operatorname{Prob}(F) = \frac{1}{Z} \exp\left(-\frac{E[F]}{kT}\right),\,$$

where k and T denote the Boltzmann constant and absolute temperature, and Z the partition function over all the permissible configurations. The meaning of the energy  $E[X_0|F]$  is similarly defined, though lacking a rigorous counterpart in statistical mechanics. Therefore, the Bayesian method leads to the energy minimization problem

$$\min_{F} E[F] + E[X_0|F].$$

In the literature of deterministic inverse problems, this corresponds to the celebrated idea of Tikhonov regularization [Tik63]. The Bayesian approach is more general in many aspects.

In terms of image restoration  $u_0 \to u$ , we are to minimize

$$E[u] + E[u_0|u]. \tag{1}$$

The data model  $u \to u_0$  depends on the real physical imaging process. One popular and useful choice as in astronomic and many medical imaging processes is blurring followed by noising [ROF92, CW98]:

$$u_0 = Ku + n$$

where n denotes additive noise, and the linear operator K models the blurring process

$$Ku(x) = \int_{\Omega} K(x, y)u(y)dy.$$

K is *lowpass* in the sense that K1=1. As well known in signal processing, if K is *shift-invariant* (or spatially homogeneous), then it has to be an ordinary filter h(x)\* via the convolution operator: K(x,y)=h(x-y). Although realistic blurring factors fluctuate randomly, most often we observe that K is fixed deterministically. We shall do so in this paper as well. Modeling the white noise by Gaussian, we easily obtain the energy for the data model (up to a multiplier):

$$E[u_0|u] = \int_{\Omega} \frac{(Ku(x) - u_0(x))^2}{\sigma^2(x)} dx,$$
 (2)

where  $\sigma^2(x)$  is the noise variance at pixel x, and is a constant for homogeneous noise. Generally  $1/\sigma^2(x)$  simply contributes as a positive weight w(x), and the energy presents a *weighted* least square fitting as discussed in Strang [Str93].

Other blurring and noising models are also possible depending on the real imaging processes. For example, Rudin and Osher also studied the multiplicative noise model in [RO94].

Therefore, the restoration quality by (1) crucially depends on the choice of the image model E[u]. The BV image model of Rudin-Osher-Fatemi [ROF92] captures the edge feature of images, and is perhaps the most efficient geometric image model in terms of theoretical accessibility, computational efficiency, and applicational quality.

## 3 The BV Model of Rudin, Osher, and Fatemi

#### 3.1 Functions with bounded variation

We start with some essential mathematical theory on functions with bounded variations. We refer to the outstanding monograph by Giusti [Giu84] for more details.

Let  $\Omega\subset R^2$  denote the open image domain, which for most real applications bears a rectangular shape. For each real function  $f\in L^1_{\mathrm{loc}}(\Omega)$  (i.e., locally integrable), its total variation  $\mathrm{TV}(f)$  is defined in the distributional sense:

$$TV(f) = \sup_{\vec{g} \in C_0^1(\Omega, B_2)} \int_{\Omega} f(\nabla \cdot \vec{g}) \, dx, \tag{3}$$

where  $B_2$  denotes the unit disk in  $\mathbb{R}^2$ , and the space of test functions is

$$C_0^1(\Omega, B_2) = \{\text{all } C^1 \text{ maps } \vec{g} : \Omega \to B_2, \text{ which are compactly supported}\}.$$

Since  $f \in L^1_{\mathrm{loc}}(\Omega)$  and  $\nabla \cdot \vec{g} \in C_0(\Omega)$ ,  $\mathrm{TV}(f)$  is well defined.  $\mathrm{TV}(f) \geq 0$  since  $B_2$  is closed under reflection  $x = (x_1, x_2) \to -x$ . Suppose f is in the Sobolev space  $W^{1,1}(\Omega)$ , then  $\nabla f \in L^1(\Omega)$  and

$$-\int_{\Omega} f \nabla \cdot \vec{g} \ dx = \int_{\Omega} (\nabla f) \cdot \vec{g} \ dx,$$

which immediately implies that

$$TV(f) = \int_{\Omega} |\nabla f| dx = \int_{\Omega} \sqrt{f_{x_1}^2 + f_{x_2}^2} \, dx_1 dx_2.$$

It is for this reason that in BV theory,  $\mathrm{TV}(f)$  is also denoted by  $\int_{\Omega} |Df|$ , with the symbol D reminding the conventional differentiation  $\nabla$ , and the absence of the Lebesgue area element dx indicating that |Df| is a general Radon measure.

The Rudin-Osher-Fatemi image model takes E[u] = TV(u) from the previous section [ROF92, RO94], and assumes that all observable images have finite variation.

The space of bounded variation is defined as

$$\mathrm{BV}(\Omega) = \{ f : f \in L^1(\Omega) \text{ and } \mathrm{TV}(f) < \infty \}.$$

It can be easily shown that  $BV(\Omega)$  is a Banach space under the BV norm

$$||f||_{\mathrm{BV}} = ||f||_{L^1} + \mathrm{TV}(f),$$

and it is continuously embedded in  $L^1(\Omega)$ .

Among all the important properties, there are three ones that have helped Rudin-Osher-Fatemi's BV image model become easily accessible in theory, and meaningful for applications in image and low-level vision analysis.

Lower semi-continuity of the TV norm in  $L^1(\Omega)$  says if  $f_n \to f$  weakly in  $L^1(\Omega)$ , then

$$\int_{\Omega} |Df| \le \liminf_{n \to \infty} \int_{\Omega} |Df_n|.$$

In addition, the embedding  $\mathrm{BV}(\Omega) \to L^1(\Omega)$  is compact, i.e., the unit ball of  $\mathrm{BV}(\Omega)$  is compact in  $L^1(\Omega)$ . As well practiced in the direct method in Calculus of Variations, these two key properties together often point to the existence of minimizers for energies involving the TV norm. This is indeed the case in Chambolle and Lions' work on the TV restoration model [CL97], which will be outlined in the next section.

The third property reveals the geometric nature of the TV norm, and thus strongly supports its application in geometry motivated vision and image analysis. It is the *co-area* formula. Define the perimeter  $\operatorname{Per}(Q)$  of a domain  $Q \subset \Omega$  to be

$$Per(Q) = \int_{\Omega} |D1_Q(x)| = TV(1_Q),$$

which generalizes the conventional notion of length for a regular boundary  $\partial Q$ . For any function  $u \in \mathrm{BV}(\Omega)$ , the co-area formula says

$$\int_{\Omega} |Du| = \int_{-\infty}^{\infty} \operatorname{Per}(u < \lambda) \ d\lambda. \tag{4}$$

Here the event  $(u < \lambda)$  denotes the domain  $Q_{\lambda} = \{x \in \Omega : u(x) < \lambda\}$ .

To better understand the formula, imagine a simple case when  $u \in C^{\infty}$  and  $\lambda$  is regular, i.e.,  $\nabla u(x)$  does not vanish on the entire level set  $u=\lambda$ . Then the boundary  $\partial Q_{\lambda}$  is a regular smooth curve and  $\operatorname{Per}(Q_{\lambda})$  is exactly its Euclidean length. Therefore, in the conventional sense, the co-area formula states that

$$\mathrm{TV}(u)$$
 is a collective way to sum up the lengths of all level lines.

It is this property that brings the TV norm closer to meeting the requirement of an ideal vision measure. Generally, human vision tends to represent curves and edges as simple as possible for the purpose of efficient neuronal data compression and visual communication [Don00]. Such representation is achieved by having the local small ripples ignored or filtered out, just as having the curve lengths shortened. This is the vision rationale for the minimization of the TV norm and the BV image model.

## 3.2 TV restoration: Model and theory

Section 2 and 3.1 lay out the vision and mathematical foundations for the original restoration model of Rudin, Osher, and Fatemi [ROF92, RO94].

As in Section 2, assume that a given image  $u_0$  is noisy and blurred:

$$u_0 = Ku + n$$

and in addition, the ideal image u is assumed in  $\mathrm{BV}(\Omega)$ . Then the Bayesian restoration energy first proposed by Rudin, Osher, and Fatemi [ROF92, RO94] is

$$E[u|u_0] = TV(u) + E[u_0|u],$$

where the data model  $E[u_0|u]$  is as given in (2). More explicitly, we are to minimize

$$E[u|u_0] = \int_{\Omega} |Du| + \int_{\Omega} (Ku - u_0)^2 w(x) dx,$$
 (5)

with  $w(x) = const./\sigma^2(x)$ . In the original paper [ROF92], the noise is assumed to be homogeneous, and thus  $w(x) = \lambda/2$  is a constant weight, with  $\lambda$  taking the effect of a Lagrange multiplier.

The existence and uniqueness of the TV restoration model in  $BV(\Omega) \cap L^2(\Omega)$  were proven by Chambolle and Lions using the direct method [CL97]. The major properties leading to the proof are the *lower semi-continuity* and  $L^1$  compactness as outlined in the previous section. The basic assumptions ensuring the existence and uniqueness are

- (a) (blurring model) The linear blurring operator  $K: L^2(\Omega) \to L^2(\Omega)$  is continuous, lowpass: K1 = 1, and injective (for uniqueness).
- (b) (noise model) The noise n has mean 0 and variance  $\sigma^2$ , known a priori.
- (c) (independence of blurring and noise)  $Var(u_0) \ge \sigma^2$ .

We should say a few more words about the last condition. In the current data model, we have assumed that the blurring K and noise n are independent. Therefore, from probability,

$$\operatorname{Var}(u_0) = \operatorname{Var}(Ku) + \operatorname{Var}(n) = \sigma^2 + \operatorname{Var}(Ku) \ge \sigma^2.$$

(If both the blurring K and the ideal image u are deterministic, then equality is indeed achieved.) But from the application point of view, most often we are only given one single observation  $u_0$ , despite that  $u_0$  is a random field. Therefore, the last condition is numerically understood and inspected in the ergodic sense:

$$\operatorname{Var}(u_0) = \frac{1}{|\Omega|} \int_{\Omega} \left( u_0 - \frac{1}{|\Omega|} \int_{\Omega} u_0 dx \right)^2 dx,$$

where  $|\Omega|$  denotes the Lebesgue measure of the image domain.

Recently, Chan, Osher, and Shen has extended the TV restoration model (5) to data that live on general graphs (the so-called *digital TV*), and to "non-flat" data or image features (such as chromaticity and orientations of optical flows) that live on Riemannian manifolds [COS01, CS01d].

#### 3.3 TV restoration: Computation and approximation

To computationally realize the TV restoration model (5), as first proposed by Rudin, Osher, and Fatemi [RO94], one typically takes the steepest descent method (time marching) or directly solves the associated equilibrium equation (steady solution) by iterative methods [VO96, DV97]. Here we discuss the latter.

Formally, or assuming u in a finer space  $H^1(\Omega)$ , we find that the equilibrium equation for energy (5) is given by

$$\nabla \cdot \left(\frac{\nabla u}{|\nabla u|}\right) - 2K^* w(Ku - u_0) = 0, \tag{6}$$

with the Neumann adiabatic boundary condition. Here  $K^*$  is the adjoint of K, and  $w(x) \equiv \lambda/2$  corresponds to the homogeneity of the noise in the original Rudin-Osher-Fatemi model. If indeed  $u \in H^1(\Omega)$ , then the differential equation is understood in the weak sense as in the classical theory of elliptic equations (i.e., in  $(H^1)'$ ).

For a smooth function u, the differential term in (6) at a regular (i.e. with non-degenerate gradient) pixel  $x_0$  is exactly the curvature of the level line  $u \equiv u(x_0)$ , which once more reveals the geometry encoded into the model.

All the existing numerical algorithms have depended on some form of relaxation of the TV norm. That is,

to replace 
$$\int_{\Omega} |Du|$$
 by  $\int_{\Omega} \phi_{\epsilon}(|\nabla u|) dx$ .

Here  $\phi_{\epsilon}(p): R \to R$ , with  $\phi'_{\epsilon}(p) > 0$ , for p > 0 is a  $C^1$  convex even function that mollifies that original |p|, and  $\epsilon$  is a small relaxation parameter that often models the sensitivity of a vision system. Popular choices include

$$\phi_{\epsilon}(p) = \sqrt{p^2 + \epsilon^2} := |p|_{\epsilon},$$

and the integral of  $f_{\epsilon}(p) = \epsilon \vee p^{-1} \wedge \epsilon^{-1}$  with  $\phi_{\epsilon}(0) = 0$ . (Here the wedge notations represent the ceiling and grounding operators [CL97]) Consequently, the equilibrium equation for such a relaxation is modified to

$$\nabla \cdot \left( \frac{\phi_{\epsilon}'(|\nabla u|)}{|\nabla u|} \nabla u \right) - K^* w (Ku - u_0) = 0, \tag{7}$$

It can be shown that the solutions to these two relaxed problems are all in  $H^1(\Omega)$ .

Computationally, the nonlinear equation (6) is often solved iteratively by the freezing technique. That is, at each step n, the next update  $u^{(n+1)}$  solves the linearized Poisson equation with blurring and fitting:

$$\nabla \cdot \left( z^{(n)} \nabla u \right) - K^* w (Ku - u_0) = 0, \tag{8}$$

where  $z=\phi'_\epsilon(|\nabla u|)/|\nabla u|>0$  is the diffusivity coefficient, and is freezed at the current step. Therefore, from the energy point of view, the update is the unique minimizer to the elliptic energy

$$\int_{\Omega} z^{(n)} |\nabla u|^2 dx + \int_{\Omega} (Ku - u^0)^2 w(x) dx$$

in  $H^1(\Omega)$ . The convergence of such algorithms has been confirmed in [DV97, CL97]. Furthermore, it is even possible to endow an energy meaning to the updating of z itself:  $z^{(n+1)} = \phi'_{\epsilon}(|\nabla u^{(n+1)}|)/|\nabla u^{(n+1)}|$ . For instance, for the choice of  $\phi_{\epsilon}(p) = |p|_{\epsilon}$ , it is easy to show that  $z^{(n+1)}$  is the minimizer of

$$\int_{\Omega} \left[ z \left| \nabla u^{(n+1)} \right|_{\epsilon}^{2} + \frac{1}{z} \right] dx.$$

(For the other example, see Chambolle and Lions [CL97].) Therefore, in this case, the iterative algorithm based on the freezing technique is essentially to minimize

$$E_{\epsilon}[u, z | u_0] = \int_{\Omega} \left[ z(|\nabla u|^2 + \epsilon^2) + \frac{1}{z} \right] dx + \int_{\Omega} (Ku - u_0)^2 w(x) dx.$$

Unlike the original Rudin-Osher-Fatemi model [ROF92, RO94], it now contains a new feature z, which is often called the *auxiliary variable* in the vision community [GR92]. We also call v the *edge signature* since if z is plotted as an image, then the dark (i.e., small z) and thin (depending on  $\epsilon$ ) stripes clearly outline the edges in the image.

# 4 BV as an Interpolant: Image Inpainting

## 4.1 The problem of inpainting

The word "inpainting" is an artistic synonym for "image interpolation," as initially circulated among museum restoration artists, who manually recover the cracks of degraded ancient paintings by following as faithfully as possible the intention of their original creators.

Recently, the concept of inpainting has been connected to many major problems in image processing and low-level vision, such as perceptual image coding and compression, and error concealment for wireless image transmission [CS01a]. We refer to our recent survey paper [CS02] for much more details on the status of the inpainting problem.

Traditionally, image interpolation is often restricted to problems with scattered *small-scale* missing data. Thus the approaches and algorithms have been mostly developed from the viewpoints of the spectral method, filtering method, wavelets, and radially symmetric bases, etc.. But for *large-scale* interpolation, or "inpainting," these conventional approaches do not seem to work well due to the fundamental challenge: how to faithfully (at least visually meaningfully) recover the missing edges, i.e., the 1-dimensional singular feature of images.

Away from these classical methods, Bertalmio et al. [BSCB00] has recently introduced the idea of applying transport type of high order PDEs to complete the broken edges. The authors of the present paper then took a different approach by having the inpainting problem embedded into the general category of image restoration problems. As a result, our inpainting models have been based on the Bayesian framework of Section 2. The first image model catching our attention was the BV image model of Rudin, Osher, and Fatemi. This is the TV inpainting model that we first proposed and studied in [CS01a].

As one shall see in the next section, TV inpainting is almost identical to the original TV restoration model (5). The beauty lies in that the slight modification dramatically extends its scope of applicability, and reveals many unexpected connections to other important problems in image and low-level vision analysis, such as perceptual image coding and super-resolution [CS01a].

After the TV inpainting was first introduced, our further recent works have demonstrated that as a special restoration problem, inpainting does carry its own identity and important differences from the more familiar types such as denoising and deblurring. In [CS01c, CKS01, ES02], we have shown that the BV image model is insufficient for large scale inpainting problems, and high order geometric image models based on curvatures are necessary for more faithful reconstruction of partially missing edges.

Nevertheless, the BV image model still remains to be the simplest and effective

image interpolant, and TV inpainting is one of the very few inpainting models that allow both complete theoretical analysis and efficient computational implementation. And even for realistic applications, it always provides a valuable lower order initial guess for computationally expensive high order models. We now explain TV inpainting and some of its major applications in digital image processing.

## 4.2 The TV inpainting model by Chan and Shen

Let  $D\subset\Omega$  denote the compact inpainting domain, on which the observation  $u_0\big|_D$  is missing. The goal of inpainting is to recover the ideal image u on the *entire* domain  $\Omega$  based on the available portion  $u_0\big|_{\Omega\setminus D}$ .

It is quite obvious that generally the inpainting problem is highly ill-posed: without the input from high-level vision operators, such as symmetry detection or more general pattern learning, it is impossible to inpaint an object that is completely missing. However, the stroke of luck does shine in many major digital applications [CS01a] (e.g., random packet loss in wireless image transmission, image zooming and superresolution, etc.) in that  $u_0|_{Q\setminus D}$  indeed retains crucial information about  $u_0|_{D}$ .

The generative data model for inpainting is

$$u_0\big|_D = (Ku+n)\big|_D$$

Under the assumptions in Section 2, it leads to the energy form

$$E_D[u_0|u] = \int_{\Omega \setminus D} (Ku - u_0)^2 w(x) dx,$$

with the weight  $w(x)=1/\sigma^2(x)$ . Let  $w^D(x)$  denote the zero extension of  $w(x)\big|_{\Omega\setminus D}$  onto the whole domain  $\Omega$ , i.e.,

$$w^{D}(x) = (1 - 1_{D}(x))w(x).$$

Then the energy for the data model can also be written as

$$E_D[u_0|u] = \int_{\Omega} (Ku - u_0)^2 w^D(x) dx,$$
 (9)

where  $u_0\big|_{\Omega\setminus D}$  is extended to  $u_0=u_0\big|_{\Omega}$  in any manner since it is wiped out by  $w^D(x)$  anyway.

Then Bayesian inpainting based on the BV image model is to minimize

$$E_D[u|u_0] = \int_{\Omega} |Du| + \int_{\Omega} (Ku - u_0)^2 w^D(x) \ dx, \tag{10}$$

which is almost identical to the original TV restoration model of Rudin, Osher, and Fatemi (5), only with an adjustment on the weight function. Consequently, they share the same form of the PDE:

$$\nabla \cdot \left(\frac{\nabla u}{|\nabla u|}\right) - K^* w^D (Ku - u_0) = 0, \tag{11}$$

or for the sake of the freezing algorithm mentioned above,  $L_z u = f$  with

$$f = K^* w^D u_0, \quad L_z = -\nabla \cdot z \nabla + K^* w^D K, \quad z = \frac{1}{|\nabla u|}.$$
 (12)

The associated boundary condition along  $\partial\Omega$  is again Neumann adiabatic.

What is the mathematical difference between the TV restoration models (5, 6) and the TV inpainting models, caused by the almost trivial modification of the weight function? Unlike the situation when the weight function  $w(x) \geq \lambda/2 > 0$  for all pixels, the inpainting energy (10) is no longer *strictly* convex. Therefore, as shown by Chan, Kang, and Shen [CKS01], the existence of TV inpainting in  $\mathrm{BV}(\Omega)$  is guaranteed, but generally uniqueness is not. In terms of the iterative algorithm based on the freezing technique, the non-uniqueness is caused by the fact that the linearized operator  $L_z$  is only semi-positive definite.

As explained in our paper [CKS01], the non-uniqueness of TV inpainting may not be necessarily a defect of the model, but instead, an intrinsic part of the inpainting problem itself. To certain degree, it models the uncertain situation of human decision making when the given information is generated by two or more equally possible patterns.

All the computational methods discussed in Section 3.3 apply here too. In addition, to increase the sparsity of the linear system for general blurring kernel K, we have modified the freezing iterative scheme at each step n to

$$[L_{z^{(n)}} + (w^D - K^*w^D K)]u^{(n+1)} = (w^D - K^*w^D K)u^{(n)} + f.$$

Figures 1 and 2 display the computational outputs for two images with simulated digital blurring, noising, and random packet loss with:

$$K_1 = \frac{1}{16^8} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}^{*8} \quad \text{and} \quad K_2 = \frac{1}{70^8} \begin{bmatrix} 1 & 3 & 1 \\ 20 & 20 & 20 \\ 1 & 3 & 1 \end{bmatrix}^{*8}. \tag{13}$$

Here the asterisks indicate that the powers are in the convolutional sense.  $K_1$  and  $K_2$  simulate the continuous isotropic blurring and directional motion blurring separately. These simulated results clearly demonstrate the power of TV inpainting for the potential market of noisy transmission of blurred images with randomly lost packets, images from the Hubble telescope, for example [VO96, CW98].

There are also some important applications that cannot be covered by the continuous language, yet made possible by the extension of the TV norm onto general graphs by Chan, Osher, and Shen [COS01]. Zooming and perceptual image coding are such examples that we first studied in [CS01a].

Let us discuss a simplified version of the digital zoom-in problem and the TV inpainting approach. The goal of zoom-in is to create a  $2N \times 2N$  digital image  $[u_{i,j}]$   $(1 \le i, j \le 2N)$  from its possibly noisy coarse sampling  $[u_{2i,2j}^0](1 \le i, j \le N)$ . Thus the weight function in this digital setting is given by

$$w_{i,j}^D = 1$$
,  $2|i \& 2|j$ ; 0, otherwise.

Simulated blurring and random packet loss





Figure 1: TV Inpainting of a blurred image (by  $K_1$  in (13)) with simulated random packet loss.

In the paper [CS01a], we proposed the following zoom-in model based on TV inpainting:

$$TV_g([u_{i,j}]) + \sum_{1 \le i,j \le 2N} (u_{i,j} - u_{i,j}^0)^2 w_{i,j}^D,$$
(14)

where  $\mathrm{TV}_g$  denotes the digital TV norm on graphs (a model for digital domains), as introduced in [COS01]. Figure 3 shows the computational output of the model when applied to a test image from Caltech's computational vision group. The comparison has been made between the BV image model and the Sobolev one (i.e.,  $E[u] = \int_{\Omega} |\nabla u|^2$ ). One can clearly observe that BV yields much better reconstruction in terms of the sharpness of object boundaries.

The second non-trivial application connects inpainting to perceptual image coding, compression, and reconstruction [CS01a]. An example based on digital TV inpainting (14) (with a different weight  $w^D$ ) is presented in Figure 4. We refer to our paper [CS01a] for more details.

These examples clearly demonstrate the beauty of a good image model - it facilitates all processing tasks, as a lighthouse does for successful navigation.

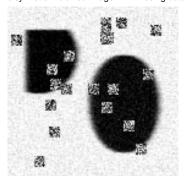
# 5 Beyond BV and Conclusion

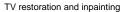
### 5.1 The Mumford-Shah image model

A sibling to BV images is the celebrated object-edge model of Mumford and Shah [MS89]:

$$E_{\alpha}[u,\Gamma] = \int_{\Omega \setminus \Gamma} |\nabla u|^2 dx + \alpha H^1(\Gamma),$$

noisy motion-blurred image with missing data





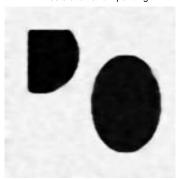


Figure 2: TV inpainting of a noisy blurred image (by  $K_2$  in (13)) with simulated random packet loss.

where  $H^1(\Gamma)$  is the one-dimensional Hausdorff measure of the "edge" set  $\Gamma$ , and  $\alpha>0$  is a fixed weight. It is easy to see that for near-cartoon images (i.e., the jump set  $\Gamma$  is piecewise smooth, and  $|\nabla u|\ll 1$  on  $\Omega\setminus\Gamma$ ), the Mumford-Shah image model  $E_\alpha[u,\Gamma]$  is equivalent to  $\mathrm{TV}[u]$ , since the latter as a Radon measure is concentrated along the jump set as well. The kinship between the two image models can also be seen from a unified viewpoint based on the edge signature function z introduced in Section 3.3. Such an approach has been well known in the vision community [GR92]. In Section 3.3, it has been established that the BV image model is approximately (controlled by  $\epsilon\ll 1$ ) equivalent to

$$E_{\mathrm{TV}}[u,z] = \int_{\Omega} \left( z^2 |\nabla u|^2 + \epsilon z^2 + \frac{1}{z^2} \right) dx.$$

Here we have replaced the original z by  $z^2$  since it is positive as seen from the freezing algorithm in Section 3.3. On the other hand, under the  $\Gamma$ -convergence approximation theory (Ambrosio and Tortorelli [AT90, AT92]), the edge set  $\Gamma$  in the Mumford-Shah is also replaced by an edge "signature" function z, and the image model is approximately equivalent to

$$E_{\rm MS}[u,z] = \int_{\Omega} \left( z^2 |\nabla u|^2 + \alpha (\epsilon |\nabla z|^2 + \frac{(1-z)^2}{4\epsilon}) \right) dx.$$

Therefore, by introducing the edge signature function z, both the BV image model of Rudin-Osher-Fatemi and the object-edge image model of Mumford and Shah belong to the same class of coupled energies:

$$E_{\epsilon}[u,z] = \int_{\Omega} (z^2 |\nabla u|^2 + g_{\epsilon}(z, \nabla z, \nabla \otimes \nabla z)) \ dx,$$

where  $g_{\epsilon}$  is a suitable function controlled by a small parameter  $\epsilon$ , and  $\nabla \otimes \nabla$  denotes the Hessian operator.

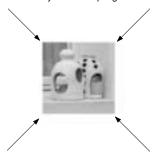
The original image



The harmonic zoom-in



Zoom-out by a subsampling of factor 4



The TV zoom-in



Figure 3: Bayesian zoom-in's based on the BV and Sobolev image models: TV inpainting yields much sharper boundaries [CS01a] (test image from Caltech's computational vision group).

The Mumford-Shah image model, once computationally realized (such as by the level-set method of Osher and Sethian [OS88], as recently studied by Tsai, Yezzi, and Willsky [TAYW01], and Chan and Vese [CV00]), is very powerful for image denoising and segmentation. Novel applications to the inpainting problem have been studied recently by Chan and Shen [CS01a], Tsai, Yezzi, and Willsky [TAYW01], and Esedoglu and Shen [ES02].

#### 5.2 Gousseau and Morel: Natural images are NOT BV

This remarkable recent result of Gousseau and Morel [GM01] is the fruit of a successful combination of statistical image study and mathematical analysis of image models.

The key is the following lower bound for the TV norm by the so called *sectional* density  $f_u(h, s)$ :

$$TV(u) \ge \pi^{\frac{1}{2}} \int_0^{|\Omega|} s^{\frac{1}{2}} f_u(h, s) ds,$$

where  $|\Omega|$  denotes the Lebesgue measure (or area) of the image domain, s an "area"

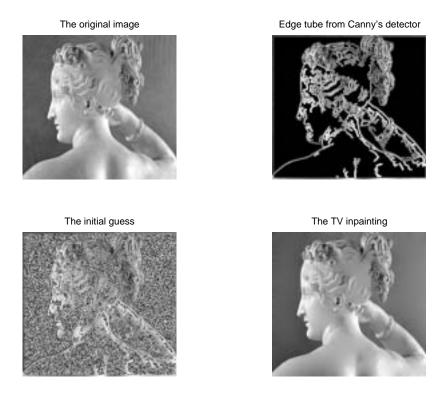


Figure 4: Perceptual image coding and decoding by the TV inpainting model [CS01a](test image from Caltech's computational vision group).

parameter, and h a quantization level of the image. Roughly speaking, for a given image u and a quantization level h,  $f_u(h,s)ds$  denotes the number of disjoint h-"blobs" (or h-sections) whose areas fall within [s,s+ds] (see [GM01] for more details). The empirical statistics based on many natural images, obtained by the same school of authors [AGM99], reveals the following power law:

$$f_u(h,s) = \frac{const.}{s^{\alpha}}, \quad \text{with} \quad \alpha \approx 2,$$

for any generic and homogeneous natural image u. Therefore,

$$TV(u) \ge const. \int_0^{|\Omega|} \frac{1}{s^{\alpha - 1/2}} ds,$$

which diverges at s=0 for all  $\alpha \geq 3/2$ .

In Meyer's u+v language [Mey01], the result reveals that the v-component for generic natural images contains *too many* small scale "blobs" (clustering controlled by a quantization level h), which makes generally  $u+v\notin \mathrm{BV}(\Omega)$ .

Therefore, this negative assertion still does not cloud the positive role of Rudin-Osher-Fatemi's BV images in the successful modeling of the u component.

#### 5.3 Conclusion

A generic image seems to be the composition of two components: u+v, as Meyer [Mey01] puts it recently. Roughly speaking, u is the deterministic component, and v is the "texture" or "clutter" component [MG01], characterized by rapid oscillations but still away from being white noise. The v-component carries a delicate correlation between space and spatial frequencies, and as a result, statistical, spectral, and wavelets tools are ideal. The u-component is more geometric, and embedded with the crucial information of deterministic and large-scale features such as edges, corners, and T-junctions. The BV image model of Rudin, Osher and Fatemi is one of the very few successful models for the u-component, which are both theoretically accessible and computationally efficient. For images with low textures (i.e., the ergodic variance  $Var(v) \ll 1$ ), such as many indoor scenes capturing large objects, the BV image model by itself is often sufficient for the tasks like denoising, deblurring, and inpainting. This viewpoint has been strongly supported by various computational results.

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We dedicate our paper to the occasion of the 60th birthday of Stan Osher.

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